

# Application Form

**EMPLOYED SAMPLE**

fisher funds 

Please mail this application form, together with your cheque (if applicable) payable to 'Fisher Funds KiwiSaver Scheme' and any other relevant documentation to: Fisher Funds Management Limited, c/o Trustees Executors Superannuation Limited, PO Box 409, Wellington, 6140.

## Investor details (\*Required fields)

Title\*  Surname\*  First names\*

Postal address\*

Postcode

Home phone  Business phone  Mobile

Email Address\* (to receive newsletters and reports from online access)

Date of birth\*  IRD no.\*          Your prescribed tax rate\* 12.5%  21%  30%

(Please tick one). refer to page 25 to determine your applicable rate; if an elected tax rate is not selected, 30% will apply.

## Contribution Details

### If you are employed

If you are employed your regular contributions will be made by your employer (whom you authorise to deduct those amounts from your pay). If you wish to invest an additional amount directly please contact Fisher Funds.

Employee elected contribution rate (as a percentage of gross wages or salary) 2%  4%  8%

### Employer details

Company Name  Company IRD No.

Postal address

Postcode  Business Phone

### If you are not employed

If you are not employed (self-employed, unemployed, under 18 and not working, retired, etc) you will invest directly with Fisher Funds. Please complete the amount of your initial investment. Minimum \$100 (excluding children).

Initial investment \$  If you wish to make regular contributions, please complete the Direct Debit Form.

## Investment Strategy

You may invest in more than one Fund within the Scheme. Refer to pages 4 and 13 for choosing your KiwiSaver Investment Fund(s). We have three basic Investment Strategies to choose from. If this is your preference, please tick the box to select how you would like your KiwiSaver account invested:

Conservative Fund  Balanced Strategy (50% Conservative Fund; 50% Growth Fund)  Growth Fund

Alternatively, you can build your own investment strategy by choosing a mix of the Conservative Fund and the Growth Fund in any proportions you wish. Simply complete the boxes below.

KiwiSaver Investment Funds	% Contributions
Conservative Fund	%
Growth Fund	%
<b>The total must equal 100%</b>	<b>100%</b>

If you do not make any choice, your contributions will be invested according to the Balanced Strategy (50% Conservative Fund; 50% Growth Fund).

By signing this Application Form you acknowledge that:

- (i) choosing an investment strategy is solely your responsibility and neither Fisher Funds nor the Trustee of the Scheme is to be regarded as representing or implying that any particular investment strategy is appropriate for your personal circumstances; and
- (ii) your choice of an investment strategy will be a binding direction from you to the Trustee of the Scheme for the purposes of the Trustee Act 1956; and
- (iii) you may change your investment strategy up to twice a year.

Please Turn Over.

## Transfers from other New Zealand superannuation schemes

Is this a transfer from:

<input type="checkbox"/>	another KiwiSaver scheme?	Name of scheme	<input type="text"/>	Membership #	<input type="text"/>
<input type="checkbox"/>	a registered superannuation scheme?	Name of scheme	<input type="text"/>	Membership #	<input type="text"/>

I apply to transfer my benefit from the above scheme to the Fisher Funds KiwiSaver Scheme. I authorise the manager or the trustee of the transferring scheme to provide to Fisher Funds or the Trustee of the Scheme any of my personal information as necessary to complete the transfer of my benefits to the Scheme

## Reporting and Communication

By signing this Application Form you agree to receive all forms of communication from Fisher Funds via email, including by hyperlink. This will include our monthly newsletter Nest Egg News, quarterly transaction statements, annual PIE tax statements and personalised statements, investor events and annual reports.

Tick here if you wish to receive correspondence by post.

## Identity Verification Form with Declaration

### Identity Verification – Fisher Funds KiwiSaver Scheme

Please provide a photocopy of any two of the following:

- New Zealand passport (personal details page)
- New Zealand driver's licence
- Credit card with photo
- Firearms licence
- Marriage certificate
- Birth certificate
- Evidence of bank account in your name
- International driver's licence

A Birth Certificate will be enough to identify a child. A second form of identification will not be required. If you are signing as a parent or guardian, you must also verify your own identity (as above).

## The Privacy Act

This statement relates to the personal information that you are providing to the Manager by way of this application and any subsequent personal information which you may provide in the future. The personal information you have supplied may be used by the Manager and the Trustee (and related entities thereof), and by other service providers to the Scheme, for the purposes of enabling the Manager and those service providers to the Scheme to arrange and manage your investment and to contact you in relation to your investment, and the Manager and the Trustee may use that information to promote to you other products and services of Fisher Funds. The Manager will provide you (on request) with the name and address of any entity to which information has been disclosed. You have the right to access all personal information held about you by the Manager and by other service providers to the Scheme. If any of the information is incorrect, you have the right to have it corrected.

If you are applying on another's behalf, you acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority will be provided upon request (in the case of a parent/guardian/other providing information about the applicant). You agree that your/each of your names and addresses may be used by Fisher Funds Management Limited to provide you with newsletters and other information about the Scheme and other products and services.

## Declaration

I have read and retained a copy of the attached Investment Statement and agree to be bound by the terms and conditions of the Trust Deed. I understand that the Scheme is a vehicle for long term investment and that the value of my investment is liable to fluctuations and may rise and fall from time to time. I understand the manner in which the fees will be deducted from my investment. The Investment Statement and the offer of securities have been made to me in New Zealand. I meet the eligibility criteria for joining the Scheme as set out on page 8 of the Investment Statement.

Signature of applicant (if 16 or older)

Date

*J. Bloggs*

01 / 07 / 10

If signing as a parent/guardian, I confirm that I am a legal guardian of the applicant. I confirm that I have read and accepted the 'Declaration' above, on behalf of the applicant.

Full name of parent/guardian (if applicant under 18)

Signature of parent/guardian

Date

/ /

Full name of second parent/guardian (if applicant under 16)

Signature of second parent/guardian

Date

/ /

\*Please note that as indicated above, if signing as a parent or guardian you must verify your own as well as the applicant's identity.

## Advisor details

Name of Advisor

Advisor Code

Company